

FORM PTO-1449 (Modified) (REV. 7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE							ATTY. DOCKET NO. 38610.0004	APPLICATION NO. 09/822,261	
LIST OF REFERENCES CITED BY APPLICANT <i>(Use several sheets if necessary)</i>									APPLICANT William A. Knaus et al.	RECEIVED Technology Center 2100 JAN 14 2002	
									FILING DATE April 2, 2001		



U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
MDP	A	5	3	2	5	2	9	4	6/28/94	Keene	364	413.01	
	B	5	4	6	5	0	8	2	11/7/95	Chaco	340	825.54	
	C	5	7	2	1	7	7	7	2/24/98	Blaze	380	4	
	D	5	8	3	2	4	8	8	11/3/98	Eberhardt	707	10	
	E	5	8	6	7	8	2	1	2/2/99	Ballantyne et al.	705	2	
	F	5	8	9	9	9	9	8	5/4/99	McGauley et al.	707	104	
	G	5	9	1	5	2	4	0	6/22/99	Karpf	705	2	
	H	5	9	2	4	0	7	4	7/13/99	Evans	705	3	
	I	5	9	3	0	7	5	9	7/27/99	Moore et al.	705	2	
	J	5	9	4	6	6	5	9	8/31/99	Lancelot et al.	705	3	
	K	5	9	7	4	3	8	9	10/26/99	Clark et al.	705	3	
	L	6	0	3	1	9	1	0	2/29/00	Deindl et al.	380	25	
	M	6	0	3	2	1	1	9	2/29/00	Brown et al.	705	2	
	N	6	0	7	3	1	0	6	6/6/00	Rozen et al.	705	3	
	O	6	1	3	1	0	9	0	10/10/00	Basso, Jr., et al.	706	23	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
														YES	NO
P															
Q															
R															
S															

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

T	Kenneth C. Laudon, <i>Markets and Privacy</i> , Communications of the ACM, September 1996, Vol., 39, No. 9, pages 92-104.
U	
V	
W	
X	

EXAMINER *MDP* DATE CONSIDERED 11/22/04

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<p style="text-align: center;">LIST OF REFERENCES CITED BY APPLICANT <i>O I P E</i> <i>USPTO</i> <i>JUL 3 0 2002</i></p> <p style="text-align: center;">(Use several sheets if necessary)</p>		APPLICANT William A. Knaus, et al.			
		FILING DATE April 2, 2001	GROUP 2131		

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mop	A	5	3	0	1	1	0	5	4/5/94	Cummings, Jr.	364	401
	B	5	9	4	8	0	6	1	9/7/99	Merriman, et al.	709	219
	C	6	0	2	6	3	7	4	2/15/00	Chess	705	26
	D	6	0	2	9	1	4	1	2/22/00	Bezos, et al.	705	27

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
E								
F								
G								
H								

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

mop	I	PHARMATRAK: Tracks Consumer Behavior on the Web California Healthline, 8/15/00
	J	MATRIX HEALTHCARE: Web Site Helps Doctors Monitor Patients Online California Healthline, 8/16/00
	K	Ehealthcare Firms Fail to Deliver What Doctors Want: Standardization Healthleaders.com, 8/16/00
	L	California Health Care Leaders Work Together in Anticipation of Federal HIPAA Regulations [HIT listserv], 8/16/00
	M	Incompatible Software at Hospitals a Big Contributor to Increased Patient Care Costs, Healthleaders.com, 12/4/00
	N	Virginia Company Wins \$20M Contract to Develop Government Healthcare Web Site, Healthleaders.com, 12/4/00
	O	Prominent Health Experts Unveil Universal Guidelines to Protect Patient Privacy American Medical Association, 1/30/01
	P	URAC Works on 'Reliable' Health Web Site Accreditation Standards California Healthline, 1/31/02
	Q	Advisory Groups Put Health Data Network at Top of Agenda, Healthleaders.com, 2/9/01
--	R	Privacy vs. Productivity, Wall Street Journal, 3/8/01
	S	WESSEL, David, "Privacy vs. Productivity: A Tough Choice", The Wall Street Journal, 3/8/01, WL-WSJ 2856504

EXAMINER *Mull J M* DATE CONSIDERED 11/22/04

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				38610.0004	09/822,261
LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)				APPLICANT	
				William A. Knaus, et al.	
				FILING DATE	GROUP
				April 2, 2001	2131

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILED DATE IF APPROPRIATE

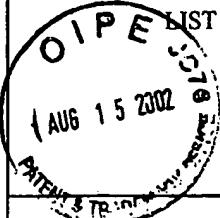
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
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<i>mdf</i>	T	LANDRO, Laura, "Health-Policy Groups Put Data Network on Government Agenda", <i>The Wall Street Journal</i> , 2/9/01					
	U	www.wellmed.com; posted 11/3/00					
	V	GERTZEN, Jason, "JS Online: Web is Prescription for Change in Medicine", 12/3/00, http://www.jsonline.com/bym/news/dec00/web04120300a.asp					
	W	CHEA, Terence, "Integic Wins Contract For Health-Care Web Site", <i>Washingtonpost.com</i> , 12/4/00					
	X	CHIN, Tyler, "Seal of Approval for Online Health Sites May Be Coming", <i>AMNews.com</i> , 11/27/00					
	Y	MARKOFF, John, "Microsoft Confronts Privacy Fears", <i>The New York Times on the Web</i> , 3/20/01					
	Z	http://www.hiehics.org/Press/Releases/001212.asp ; 12/13/00					
	AA	http://www.healthlawyers.org/home.asp?TopUrl=top_generic.htm&MenuUrl=menu.htm&BodyUrl=ehealth_promo.htm ; 12/13/00					
	BB	LEMOS, Robert, "Health Sites Seek Consumer Trust with Audit", <i>CNET News.com</i> , 12/12/00					
	CC	KLEINKE, J.D., "Vaporware.com: The Failed Promise of The Health Care Internet", <i>Health Affairs</i> , 11/12-2000					
	DD	MARKS, Richard D., "Analysis & Perspective: Guidelines for Initiating HIPAA Systems Implementation Projects", <i>Electronic Commerce & Law</i> , BNA, Inc., 5/13/00, Vol. 5, No. 18, pgs. 468-474					
	EE	eHealth Law Update, 12/13/00-12/14/00, "Hi-Ethics, TURSTe to Develop 'eHealth Seal'", California Healthline, 12/13/00, http://www.hiehics.org					
	FF	"Medscape Launches Personal Health Records", 12/5/00					
	GG	LAUDON, Kenneth C., "Markets and Privacy", <i>Communications of the ACM</i> , September 1996, Vol. 39, No. 9					
	HH	Waegemann, C. Peter, "Personal Health Records", TEPR 2001 Proceedings, 5/16/01					
	II	SQUIRES, Sally, "The Doctor is [Plugged] In", <i>The Washington Post</i> , Health: Tech Week, pgs. 10-12, 5/15/01					
	JJ						

EXAMINER	<i>Mario J. Mora</i>	DATE CONSIDERED	11/22/04
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FORM PTO-1449 (Modified) (REV. 7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 38610.0004.	APPLICATION NO. 09/822,261		
 LIST OF REFERENCES CITED BY APPLICANT <small>(Use several sheets if necessary)</small>		APPLICANT William A. Knaus, et al.		<small>Technology Center 2100</small> <small>AUG 19 2002</small>			
		FILING DATE April 2, 2001	GROUP 2131				
		U.S. PATENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILED DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
<i>MDP</i>	A	ADVANI, A. et al., "Integrating a Modern Knowledge-Based System Architecture with a Legacy VA Database: The ATHENA and EON Projects at Stanford", Proc AMIA Symp 1999:653-7, July 16, 2002					
	B	GADD, CS et al., "Information Resources Assessment of a Healthcare Integrated Delivery System", Proc AMIA Symp 1999:525-9, July 16, 2002					
	C	SZETO, et al., "Accuracy of Computerized Outpatient Diagnoses in a Veterans Affairs General Medicine Clinic", The American Journal of Managed Care Vol. 8, No. 1, pp. 43; January 2002					
	D	"What if your hospital informatics department could provide a severity adjuster?", Critical Care Medicine, Vol. 28, No. 10, October 2000					
	E	RENDER, et al., "Automated Computerized Intensive Care Unit Severity of Illness Measure in the Department of Veterans Affairs: Preliminary Results", Critical Care Medicine, Vol. 28, No. 10, October 2000					
	F	SHORTLIFFE, et al., "How Will the Information Revolution Change the Practice of Medicine?", ABIM Summer Conference, Stanford University School of Medicine, August 4, 1997					
	G	MCGUIRE, Michael R., "Automation of the Patient Medical Record: Steps Toward a Universal Patient Record", www.uprforum.com, Edition 21, June 6, 2001					
	H	SCHOENBERG, et al., "Internet Based Repository of Medical Records That Retains Patient Confidentiality", BMJ 2000; 321:1199-1203; 11/11/00					
	I	BAKER, Dixie B., "PCASSO: A Model For Safe Use of the Internet in Healthcare", Journal of AHIMA; March 2000; 71/3					
EXAMINER	<i>Mildred O'Meara</i>			DATE CONSIDERED	<i>16/22/04</i>		
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PTO/SB/08A (10/01) (modified)

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Substitute for form 1449A/PTO			
 INFORMATION DISCLOSURE STATEMENT BY APPLICANT			
<i>(use as many sheets as necessary)</i>			
Sheet	1	of	1
		Application Number	09/822,261
		Filing Date	April 2, 2001
		First Named Inventor	William A. KNAUS
		Art Unit	2131
		Examiner Name	Not Yet Assigned
		Attorney Docket Number	38610.0004

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FOREIGN PATENT DOCUMENTS

*Examiner Initials	Cite No.	FOREIGN PATENT DOCUMENT		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	TRANSLATION	
		Country Code:	Number - Kind Code (if known)				YES	NO
MDD		WO	98 15910 A	4/16/1998	Myron G. Schultz, et al.		<input type="checkbox"/>	<input checked="" type="checkbox"/>
							<input type="checkbox"/>	<input checked="" type="checkbox"/>
							<input type="checkbox"/>	<input checked="" type="checkbox"/>

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issued number(s), publisher, city and/or country where published	Translation
MDP		Terry T. May, "Medical Information Security: The Evolving Challenge," <i>Proc. Of the 32nd Int. Carnahan Conf. On Security Technology</i> , 12-14 October 1998, pp. 85-92.	

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